



AKTIA BANK

2023 ENGAGEMENT REPORT



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AKTIA BANK'S STRATEGY FOR ENGAGEMENT

Aktia's engagement strategy is based on Aktia's Principles for Responsible Investments (Vastuullisen sijoittamisen periaatteet - Principer för ansvarsfullt investering) and Aktia's Stewardship Policy (Aktian omistajaohjauksen periaatteet - Aktias principer för ägarstyrning). ISS ESG screens and monitors the holdings of Aktia's mutual funds for cases where companies may have failed to act in full accordance with the UN Global Compact Principles. As part of Aktia's ownership practices, Aktia participates in Norm-Based Engagements conducted by ISS ESG on behalf of Aktia and Aktia's investors, as well as for other investors. Aktia considers Norm-Based Engagements to be a tool for supporting companies to act in accordance with international norms and expectations, as defined in the Global Compact principles. Aktia considers that improved transparency relating to the issues addressed in the engagement dialogues, as well as improved risk management processes in the companies, are bound to support the companies as investment cases while safeguarding the interests of different stakeholder groups and the environment.

In addition to the Norm-Based Engagement dialogues carried out by ISS ESG, Aktia also discusses ESG issues directly with companies and representatives of government entities. Aktia encourages companies to report on climate risks in accordance with the TCFD recommendations, and to report on their carbon emissions. Aktia is a signatory of the CDP climate-, water and forest initiatives, and has regularly participated in CDP's non-disclosure campaign. Aktia is a signatory of the UN Principles of Responsible Investments (PRI), and of the Climate Action 100+ initiative. Aktia uses the Norm-Based Engagement Solution of ISS ESG to help facilitate its engagement activities. For more information about ISS ESG and the Norm-Based Engagement Solution, we refer you to Appendix A of this report.

ISS ESG NORM-BASED ENGAGEMENT APPROACH

ISS ESG's Norm-Based Engagement facilitates engagement with issuers on behalf of participating investors who would like to encourage corporate disclosure of efforts taken to manage or mitigate the environmental and social risks connected to business activities or operations. By engaging collaboratively, participating asset owners and managers can wield more influence than through solo engagements, while saving time and resources.

ENGAGEMENT SCOPE

Through ISS ESG's Norm-Based Engagement, participating investors can focus on companies that are assessed under ISS ESG Norm-Based Research to be involved in alleged or verified severe, systematic, or systemic failures to respect international norms. The engagements are categorised under the four United Nations Global Compact areas of human rights, labour rights, environment, and anti-corruption. Each engagement is identified by one or several specific topics within these areas, e.g., union rights, workplace discrimination, health and safety, child labour and forced labour within the labour rights norm area.

ENGAGEMENT PROCESS

The engagement is preceded by in-depth research and fact-finding dialogues with companies and stakeholders through ISS ESG Norm-Based Research. Once a company is identified to be involved in a relevant controversy it is considered for Norm-Based Engagement, which is conducted on a rolling quarterly basis. Through these feasibility studies, ISS ESG identifies gaps in company disclosure and assesses whether investor dialogue is an appropriate means to reach the goals set by the participating investors. Influential factors include an estimation of the company's level of responsibility, mitigating steps it has taken to mitigate the possible failure to respect a norm, and availability of both stakeholder and expert sources to facilitate constructive dialogue.

The engagement dialogue is initiated through letters to companies, sent by ISS ESG on behalf of participating investors. Dialogue may be conducted in writing or through calls and meetings, facilitated by ISS ESG. Some companies require repeated follow-ups to respond. Should the company remain unresponsive, enquiries are escalated to the CEO and the Board. Once every effort has been made to engage both Investor Relations and a company's Executive Management, the feasibility of engagement is re-evaluated.

ENGAGEMENT OUTCOMES

At the outset of each engagement, clear and actionable goals are defined within four key categories: disclosure, implementation, remediation, and termination. Information provided by the company through the course of the engagement dialogue is assessed against the goals. ISS ESG also assesses the company's quality of participation in the engagement. Where the goals have been fulfilled and the controversy – or the risk thereof – is assessed to have been resolved, the engagement is closed. If there is insufficient information to determine whether the goals have been fulfilled, the engagement may be suspended pending developments in the controversy or the disclosure of further information by the company and may subsequently be extended. Alternatively, where there is a poor outlook for the achievement of goals for the engagement, the dialogue may be discontinued.

ENGAGEMENT STATISTICS

Aktia Bank participated in 130 separate company engagements, covering 193 individual ESG topics and resulting in a total of 447 interactions. The companies engaged with are domiciled across 28 different locations. The engagements were facilitated by Aktia Bank's ESG service provider, ISS ESG. For 100 of the companies, a new engagement cycle was initiated during 2023. Some 65% of the 130 companies provided responses to the investor outreach.

Charts 1-4: Summary charts of engagements conducted between 1 January 2023 and 31 December 2023

Chart 1

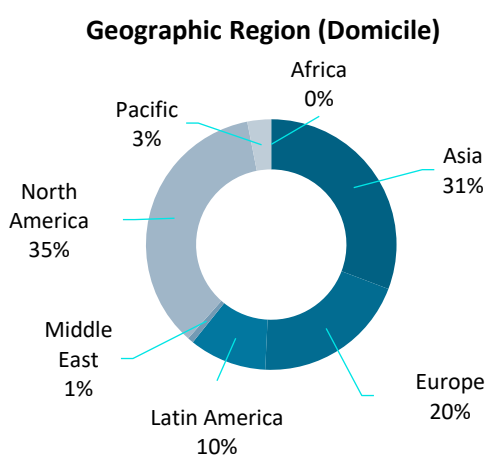


Chart 2

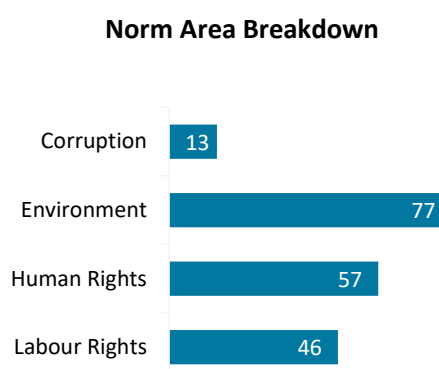


Chart 3

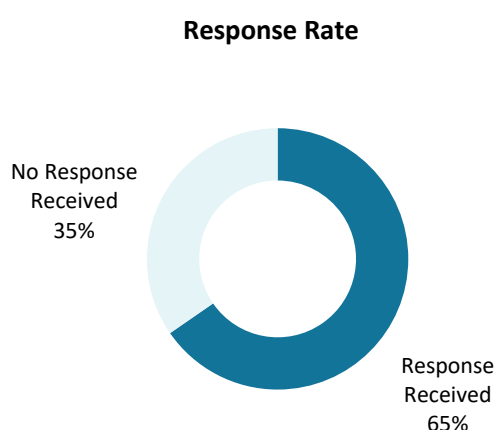
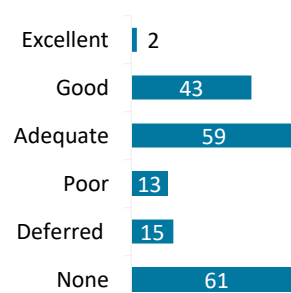


Chart 4

Quality of Participation
(as defined in footnote)



1

¹ **NOTE:** Quality of participation definitions: **Excellent:** The company has replied and substantially addressed all elements of the questions. **Good:** The company has replied and addressed most elements of the questions. **Adequate:** The company has replied, but only partially addressed the questions posed. **Poor:** The company has replied but did not address the questions posed. **Deferred:** The company has replied, acknowledging the enquiry, but has requested more time to respond and/or transferred the responsibility to another function in the company. **None:** The company has not replied.

ENGAGEMENT THEMES

This section outlines the key themes that Norm-Based Engagement related to during 2023. In the area of human rights, the key engagement topics were human rights due diligence and concerns regarding indigenous rights, especially related to stakeholder consultation. Workplace health and safety was the dominant labour rights theme, followed by union rights and forced labour/modern slavery. The majority of environment-focused engagement topics were related to corporate management of incidents of pollution (air, water, and soil), loss of biodiversity, and climate change. Within the area of anti-corruption, key engagement themes included bribery and anti-competitive behaviour.

The following table provides an overview of major engagement themes in 2023.

Table 1: Major engagement themes in 2023 (individual engagements may cover multiple themes)

UN GLOBAL COMPACT PRINCIPLES	NORM AREA		TOPIC AREA
1-2		Human Rights	Human rights due diligence
			Indigenous rights / Stakeholder consultation
3-6		Labour Rights	Workplace health and safety
			Union rights
7-9		Environment	Pollution (Air, Water, and Soil)
			Environmental impacts
10		Anti-Corruption	Bribery
			Anti-competitive behaviour

ENGAGEMENT OUTCOMES

One of the key outcomes of Norm-Based Engagement activity during 2023 is that participating investors elicited greater transparency from companies, marking a response rate of 65%. In most cases, improvements in disclosure and openness to dialogue were achieved gradually after persistent reminders and follow-up. Engagement success was achieved with companies which increased transparency, stopped a controversial practice, or announced a concrete and time-bound strategy for risk mitigation.

SELECTED ENGAGEMENT SPOTLIGHTS

The selected engagement spotlights below illustrate both successes and remaining work to be done in some of the major 2023 engagement themes, including human rights due diligence, pollution, and environmental impacts.

Barrick Gold Corporation		ISIN CA0679011084
THEME Human rights due diligence, pollution, environmental impacts		LOCATION Argentina, Papua New Guinea, Tanzania
ACTIVITY SUMMARY	<p>In Q3 2022, ISS ESG shared a letter with Barrick Gold Corporation on behalf of participating investors regarding the company's complicity in human rights violations controversy at the Porgera mine in Papua New Guinea and the North Mara mine in Tanzania as well as its water pollution controversy at the Porgera mine and its environmental impacts controversy at the Veladero mine in Argentina.</p> <p>In an engagement meeting facilitated by ISS ESG in March 2023, the company shared detailed information regarding the management of its risks concerning human rights violations at the North Mara mine and environmental impacts at the Veladero mine. Regarding the allegations of human rights violations at the North Mara mine, in addition to providing context and information on the legal proceedings, the company shared its measures in place to address the allegations, including revising its Group Human Rights Policy and the Group Standard on Voluntary Principles on Security and Human Rights, changing its private security company to a local, unarmed company, enacting a new memorandum of understanding with the Tanzanian police, conducting various independent assessments, and engaging with the wider community. Regarding the allegations of environmental impacts at the Veladero mine, the company shared that its operations are approximately a 5-kilometer air-line distance from any glacial or periglacial environment. It further shared its measures in place since the 2015 incident, such as updating its water monitoring programme and standard operating procedures, submitting all results to authorities, and a community participatory monitoring programme that allows the public to be educated on how to interpret the monitoring results.</p>	



In a written response to address ISS ESG's follow-up questions in March 2023, concerning the allegations of human rights violations at the North Mara mine, the company shared further details regarding grievance mechanisms and provided information on the independent assessments conducted at the operations. Concerning the allegations of environmental impacts at the Veladero mine, it further shared the preventative measures implemented at the mine and clarified its role in legal proceedings in Argentina. Concerning the water pollution allegations at the Porgera mine, the company stated that it had not resumed operations at the mine and shared its measures to minimise environmental impacts.

ISS ESG welcomes Barrick's openness to dialogue and the disclosure of information regarding its management of risks related human rights, pollution, and environmental impacts at its mining operations in Tanzania, Argentina, and Papua New Guinea. ISS ESG notes the steps taken by the company to address the allegations human rights violations at the North Mara mine but would have appreciated further details on grievances received by Barrick in 2022 with regards to the mine. Furthermore, ISS ESG notes the company's preventative measures implemented at the Veladero mine but would have appreciated details concerning the measures incorporated by the company to prevent heavy metal contamination from the mine as well as the status of the ongoing process of Argentina's national glacier legislation. ISS ESG also appreciates the company's update concerning operations at the Porgera mine and measures Barrick is planning to implement once mining activities resume but would have appreciated further details on the company's plans to ensure that sexual assault, rape, and violence do not resume around the mine when activities restart.

Based on the information Barrick provided in this engagement dialogue, the company was assessed to have made positive progress towards the engagement goal of implementation of relevant policies for the human rights controversy in Tanzania and was assessed to have demonstrated an excellent quality of participation for this topic. It was further assessed to have made positive progress towards all engagement goals for the pollution controversy in Papua New Guinea and was assessed to have demonstrated a good quality of participation for this topic. Also, the company was assessed to have made positive progress towards the engagement goal of remedial action for its environmental impacts controversy in Argentina and was assessed to have demonstrated an excellent quality of participation for this topic. Lastly, while it did not progress on the engagement goals, it was assessed to have demonstrated a good quality of participation for the human rights controversy in Papua New Guinea.

ISS ESG continues to monitor the efficacy of the company's commitments and remediation measures to address the allegations.

Teck Resources Limited		ISIN CA8787422044
THEME Pollution		LOCATION Canada
ACTIVITY SUMMARY	<p>In Q3 2023, ISS ESG shared a letter with Teck Resources Limited on behalf of participating investors regarding the company's water pollution controversy in Canada.</p> <p>In a written response to ISS ESG's investor enquiry in August 2023, the company outlined its progress towards implementing the Elk Valley Water Quality Plan, primarily through its four water treatment facilities and more planned to be constructed by the end of 2027. It shared some of its water goals and an update regarding reducing selenium and nitrate concentrations in the water as well as its research and development efforts to achieve its goals. In a written response to ISS ESG's follow-up questions in November 2023, the company shared answers to all questions regarding the three administrative penalties issued in January 2023, the third-party verification of its monitoring data, its wastewater management policy, and transboundary pollution between the United States and Canada.</p> <p>ISS ESG welcomes Teck's openness to dialogue and the disclosure of information regarding its management of risks related to water pollution. ISS ESG notes the efforts by the company to address the allegations but would have appreciated the company to go beyond a compliance-based approach regarding wastewater management and implement its own policies for water quality. ISS ESG would also appreciate some of the company's claims to be evidenced in its public disclosures, or for the company to provide supporting documentation where possible.</p> <p>Based on the information Teck provided in this engagement dialogue, the company was assessed to have made positive progress towards the engagement goals of disclosure of relevant information. The company was assessed to have demonstrated a good quality of participation.</p> <p>ISS ESG continues to monitor the efficacy of the company's remediation measures to address the allegations.</p>	

Lundin Mining Corporation		ISIN CA5503721063
THEME Environmental impacts		LOCATION Chile
ACTIVITY SUMMARY	<p>In Q4 2023, ISS ESG shared a letter with Lundin Mining Corporation (Lundin) on behalf of participating investors regarding the company's environmental impacts controversy at the Alcaparrosa mine in Chile.</p>	



In an engagement meeting facilitated by ISS ESG in December 2023, Lundin elaborated on measures to address the July 2022 subsidence at the Alcaparrosa mine.

The company expressed its disagreement with the findings of the Chilean Superintendency of Environment (SMA), which stated that mining activities were the main cause of the sinkhole and maintained that the damage is reparable. The company shared that the compliance plan it had submitted to SMA was dismissed and that it formally appealed the charges, for which the proceedings were ongoing. The company shared that its Responsible Mining Management System Standard requires regular external and internal audits and that environmental impact assessments are part of its standard mining operation processes, adding that its internal investigation observed no contamination in the aquifer and that the walls built had been effective in bringing back water levels in the wells to levels prior to the incident. The company also stated that it disagreed with the compensation claims made by the Chilean State Defense Council in the ongoing lawsuit at the First Environmental Court of Antofagasta and said that it either can continue with litigation or reach a settlement. More generally, the company shared that its Responsible Mining Management System Standard requires regular external and internal audits and that environmental impact assessments are part of its standard processes prior to, during and upon decommission of mining operations.

ISS ESG welcomes Lundin's openness to dialogue and the disclosure of information regarding its management of risks related to environmental impacts. ISS ESG notes the efforts by the company to address the allegations at the Alcaparrosa mine but would have appreciated further details concerning results and/or updates on studies (incl. hydrological, soil stability, biodiversity) ordered by SMA as well as further details on the company's preventative measures against water-related risks at its global mining operations.

Based on the information Lundin provided in this engagement dialogue, the company was assessed to have made positive progress towards the engagement goals of disclosure of relevant information, implementation of relevant policies, remedial action, and termination of problematic practice. The company was assessed to have demonstrated a good quality of participation.

ISS ESG continues to monitor the efficacy of the company's commitments and remediation measures to address the allegations.

ENGAGEMENT DETAILS

The following section provides information on all Norm-Based Engagement activities conducted during 2023. The section provides an overview of the dialogues held with responsive companies during the year, a list of companies which have remained unresponsive as of 31 December 2023, as well as the engagement topics which have been closed during the year. The tables present the status achieved for each of the engagement goals which were set when the engagement dialogue was initiated.

For the responsive companies, an overview of the progress towards the engagement goals is included in the tables. ISS ESG uses the following category of goals and statuses to measure progress and success of the engagement:

Engagement Goals	Disclosure of Relevant Information	Engagement Goal Status	Credible Measures Adopted
	Implementation of Relevant Policies		Measures Initiated
	Remedial Action		Commitment Expressed
	Termination of Problematic Practice		No Measures Announced

ENGAGEMENT DIALOGUES

This section lists all engagements in which a dialogue was established with the company, either via email or in a virtual meeting, during 2023. These engagements were initiated through an engagement enquiry sent by ISS ESG on behalf of participating investors in 2023 or a previous year. In total, responses were received from 85 companies during 2023.

The list below provides an overview of the companies that responded in 2023. The subsequent tables provide a synopsis of the engagement with each of these companies: the quarter and year of the initial engagement enquiry, SDG alignment, engagement topic and controversy location, and progress towards the engagement goals.

Responses related to engagements initiated in 2023

ABB Ltd.	Mattel, Inc.
Alamos Gold, Inc.	McDonald's Corporation
Albemarle Corporation	Mercedes-Benz Group AG
Alibaba Group Holding Limited	Mitsui O.S.K. Lines, Ltd.
Alphabet Inc.	Neoenergia SA
AltaGas Ltd.	Norfolk Southern Corporation
Anglogold Ashanti Plc	Oil & Natural Gas Corp. Ltd.
Apple Inc.	Petroleo Brasileiro SA
ArcelorMittal SA	Philip Morris International Inc.
Bayer AG	POSCO Holdings Inc.
Berkshire Hathaway Inc.	POSCO INTERNATIONAL Corp.
BHP Group Limited	PT Indofood Sukses Makmur Tbk
Bunge Global SA	PT Perusahaan Perkebunan London Sumatra
Centrais Eletricas Brasileiras SA	Indonesia Tbk
Chevron Corporation	PT Salim Ivomas Pratama Tbk
China Gas Holdings Limited	RATCH Group Public Company Limited
CK Power Public Company Limited	Rio Tinto Limited
Companhia Energetica de Minas Gerais SA	Rio Tinto Plc
Companhia Siderurgica Nacional	Sociedad Quimica y Minera de Chile SA
Compass Group Plc	Starbucks Corporation
Delta Air Lines, Inc.	TC Energy Corporation
Deutsche Telekom AG	Teck Resources Limited
Edison SpA	Tesla, Inc.
Electricite de France SA	The Kansai Electric Power Co., Inc.
Elsewedy Electric Co.	The Star Entertainment Group Limited
Enel Americas SA	T-Mobile US, Inc.
Experian Plc	Tokyo Electric Power Co. Holdings, Inc.
First Quantum Minerals Ltd.	Tokyo Gas Co., Ltd.
Fortescue Ltd.	Toyota Motor Corp.
Iberdrola SA	UBS Group AG
Indofood Agri Resources Ltd.	Universal Health Services, Inc.
Jindal Steel & Power Ltd.	Vedanta Limited
Korea Electric Power Corp.	Zijin Mining Group Co., Ltd.
Lundin Mining Corporation	
Marriott International, Inc.	



Responses related to engagements initiated in 2022

Barrick Gold Corporation

Empresas Copec SA

Exxon Mobil Corporation

Glencore Plc

JBS SA

Kohls Corporation

Lands' End, Inc.

LG Chem Ltd.

Loomis AB

Paramount Global

PG&E Corporation

Porsche Automobil Holding SE

Samsung Electronics Co., Ltd.


Stellantis NV

The Coca-Cola Company


Tyson Foods, Inc.

Verizon Communications Inc.

Volcan Compania Minera S.A.A.

ABB Ltd.			ISIN
			CH0012221716
	SDG ALIGNMENT		QUARTER
	SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms		Q2 2023
THEMES			LOCATION
Bribery			South Africa
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Commitment expressed	Measures initiated	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	N/A	

Alamos Gold, Inc.			ISIN
			CA0115321089
SDG ALIGNMENT			QUARTER
	SDG 15: Life on Land; 15.2: Sustainable management of all types of forests, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species		Q3 2023
THEMES			LOCATION
Biodiversity, Deforestation, Environmental impacts			Turkey
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Commitment expressed	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Commitment expressed	Commitment expressed	

Albemarle Corporation			ISIN
			US0126531013
	SDG ALIGNMENT		QUARTER
	SDG 15: Life on Land; 15.2: Sustainable management of all types of forests, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species		Q4 2023
	SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world’s cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels		
THEMES			LOCATION
Biodiversity, Environmental impacts			Chile
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Measures initiated	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Measures initiated	
THEMES			LOCATION
Access to water / sanitation, Indigenous rights, Living standards			Chile
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Commitment expressed	





	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed

Alibaba Group Holding Limited		ISIN
		KYG017191142
	SDG ALIGNMENT	QUARTER
	N/A	Q2 2023
THEMES		LOCATION
Anti-competitive behaviour		China
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	No measures announced	N/A

Alphabet Inc.		ISIN
		US02079K3059
	SDG ALIGNMENT	QUARTER
	SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	Q4 2023
THEMES		LOCATION
Age discrimination (workplace), Equal pay, Gender discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated
THEMES		LOCATION
Consumer privacy, Consumer rights		France
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed
THEMES		LOCATION
Anti-competitive behaviour		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	Commitment expressed
	REMEDIAL ACTION	REMEDIAL ACTION
	Measures initiated	Commitment expressed



AltaGas Ltd.			ISIN
			CA0213611001
	SDG ALIGNMENT		QUARTER
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials		Q2 2023
THEMES			LOCATION
Biodiversity, Environmental impacts, Pollution, Soil pollution, Water pollution			United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Credible measures adopted	Commitment expressed	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Commitment expressed	

Anglogold Ashanti Plc			ISIN
			GB00BRXH2664
	SDG ALIGNMENT		QUARTER
	SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 11: Sustainable Cities and Communities; 11.1: Access for all to adequate, safe and affordable housing, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 2: Zero Hunger; 2.1: End hunger and ensure access by all people to safe, nutritious and sufficient food, SDG 6: Clean Water and Sanitation; 6.1: Universal and equitable access to safe and affordable drinking water		Q3 2023
	THEMES		LOCATION
Access to water / sanitation, Forced displacement, Human rights due diligence, Living standards, Stakeholder consultation			Guinea
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	No measures announced	No measures announced	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	No measures announced	

Apple Inc.		ISIN
		US0378331005
	SDG ALIGNMENT	QUARTER
	SDG 10: Reduced Inequalities; 10.1: Achieve and sustain income growth, SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 5: Gender Equality; 5.2: Eliminate all forms of violence against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	Q3 2023
THEMES		LOCATION
Gender discrimination (workplace), Racial discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		United States
DISCLOSURE		IMPLEMENTATION OF POLICIES




ENGAGEMENT GOAL STATUS	Commitment expressed	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	No measures announced	Commitment expressed


ArcelorMittal SA		ISIN
		LU1598757687
	SDG ALIGNMENT	
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes	
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes	
THEMES		LOCATION
Air pollution, Pollution		France
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed
THEMES		LOCATION
Air pollution, Pollution, Waste management		Kazakhstan
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	No measures announced

Barrick Gold Corporation		ISIN
		CA0679011084
	SDG ALIGNMENT	
	SDG 16: Peace, justice and Strong Institutions; 16.1: Reduce all forms of violence and related death rates everywhere	
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	
THEMES		LOCATION
Human rights due diligence, Right to life, Torture / inhumane treatment		Tanzania
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Credible measures adopted
	REMEDIAL ACTION	TERMINATION OF PRACTICE



	Measures initiated	Measures initiated
THEMES		LOCATION
Environmental impacts, Pollution, Waste management, Water pollution		Argentina
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Credible measures adopted	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated
THEMES		LOCATION
Access to water / sanitation, Forced displacement, Human rights due diligence, Living standards, Right to life		Papua New Guinea
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated
THEMES		LOCATION
Right to health, Water pollution		Papua New Guinea
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed

Bayer AG		ISIN
		DE000BAY0017
SDG ALIGNMENT		QUARTER
	SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species	Q4 2023
THEMES		LOCATION
Biodiversity, Environmental impacts		N/A
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated


Berkshire Hathaway Inc.		ISIN
		US0846707026
SDG ALIGNMENT		QUARTER
	SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages	Q4 2023
THEMES		LOCATION
Living standards, Right to life		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE




	No measures announced	No measures announced
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BHP Group Limited		ISIN
		AU000000BHP4
	SDG ALIGNMENT	QUARTER
	SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands	Q1 2023
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	
	SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 5: Gender Equality; 5.2: Eliminate all forms of violence against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	
	SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 11: Sustainable Cities and Communities; 11.1: Access for all to adequate, safe and affordable housing, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 6: Clean Water and Sanitation; 6.1: Universal and equitable access to safe and affordable drinking water	
THEMES		LOCATION
Biodiversity, Environmental impacts		Chile
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated
THEMES		LOCATION
Environmental impacts, Pollution, Soil pollution, Waste management, Water pollution		Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated
THEMES		LOCATION
Gender discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		Australia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated
THEMES		LOCATION

Access to water / sanitation, Environmental impacts, Indigenous rights, Living standards, Right to health, Right to life, Stakeholder consultation, Workplace health and safety		Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
REMEDIAL ACTION	Measures initiated	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated

Bunge Global SA		ISIN
		CH1300646267
SDG ALIGNMENT		QUARTER
	SDG 15: Life on Land; 15.2: Sustainable management of all types of forests, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species	
	Q2 2023	
THEMES		LOCATION
Biodiversity, Deforestation		Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	No measures announced
REMEDIAL ACTION	No measures announced	TERMINATION OF PRACTICE
	No measures announced	No measures announced

Centrais Eletricas Brasileiras SA		ISIN
		BRELETACNPB7
SDG ALIGNMENT		QUARTER
	SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 6: Clean Water and Sanitation; 6.1: Universal and equitable access to safe and affordable drinking water	
	Q3 2023	
	SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species	
THEMES		LOCATION
Access to water / sanitation, Indigenous rights, Living standards, Right to health		Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
REMEDIAL ACTION	Measures initiated	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated
THEMES		LOCATION
Biodiversity, Environmental impacts		Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	Measures initiated
REMEDIAL ACTION		TERMINATION OF PRACTICE



Measures initiated		Measures initiated	
Chevron Corporation		ISIN	US1667641005
	SDG ALIGNMENT		QUARTER
	SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 5: Gender Equality; 5.2: Eliminate all forms of violence against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		Q1 2023
	SDG 13: Climate Action; 13.3: Improve education, awareness-raising and human and institutional capacity on climate change		
THEMES		LOCATION	
Gender discrimination (social), Gender discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		Australia	
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Measures initiated	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Measures initiated	
THEMES		LOCATION	
Climate change impacts		Australia	
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Commitment expressed	Commitment expressed	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Commitment expressed	No measures announced	
China Gas Holdings Limited		ISIN	BMG2109G1033
	SDG ALIGNMENT		QUARTER
	SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages		Q1 2023
THEMES		LOCATION	
Right to life		China	
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	No measures announced	No measures announced	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	No measures announced	No measures announced	
CK Power Public Company Limited		ISIN	TH4536010Z09
	SDG ALIGNMENT		QUARTER
			Q2 2023




SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species


SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 2: Zero Hunger; 2.1: End hunger and ensure access by all people to safe, nutritious and sufficient food


THEMES		LOCATION
Biodiversity, Environmental impacts		Laos
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
REMEDIAL ACTION	Measures initiated	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
THEMES		LOCATION
Forced displacement, Living standards, Stakeholder consultation		Laos
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
REMEDIAL ACTION	Measures initiated	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed

Companhia Energetica de Minas Gerais SA		ISIN
		BRCMIGACNPR3
	SDG ALIGNMENT	QUARTER
	SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species	Q3 2023
SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels		
THEMES		LOCATION
Biodiversity, Environmental impacts		Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
REMEDIAL ACTION	Measures initiated	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated
THEMES		LOCATION
Forced displacement, Indigenous rights, Living standards, Right to health, Stakeholder consultation		Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
REMEDIAL ACTION	Measures initiated	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated



Companhia Siderurgica Nacional			ISIN
SDG ALIGNMENT			QUARTER
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials		Q3 2023
THEMES			LOCATION
Air pollution, Pollution, Soil pollution, Waste management, Water pollution			Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Measures initiated	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Commitment expressed	Commitment expressed	

Compass Group Plc			ISIN
SDG ALIGNMENT			QUARTER
	SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		Q2 2023
THEMES			LOCATION
Living wage, Union rights, Working conditions			Turkey
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Commitment expressed	Measures initiated	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Commitment expressed	Commitment expressed	

Delta Air Lines, Inc.			ISIN
SDG ALIGNMENT			QUARTER
	SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		Q4 2023
THEMES			LOCATION
Union rights			United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	No measures announced	No measures announced	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	No measures announced	No measures announced	



Deutsche Telekom AG			ISIN
SDG ALIGNMENT			DE0005557508
SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers			QUARTER Q1 2023
THEMES Consumer privacy, Consumer rights, Cybersecurity			LOCATION United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Commitment expressed	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Commitment expressed	
THEMES Union rights			LOCATION United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	No measures announced	Commitment expressed	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	No measures announced	No measures announced	

Edison SpA			ISIN
SDG ALIGNMENT			IT0003372205
SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials			QUARTER Q2 2023
THEMES Pollution, Waste management, Water pollution			LOCATION Italy
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Credible measures adopted	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Commitment expressed	

Electricite de France SA			ISIN
SDG ALIGNMENT			CH1291809916
SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials			QUARTER Q1 2023



SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials

N/A

THEMES		LOCATION
Pollution, Waste management, Water pollution		Italy
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Credible measures adopted
REMEDIAL ACTION	Measures initiated	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
THEMES		LOCATION
Biodiversity, Environmental impacts, Water pollution		Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
REMEDIAL ACTION	Commitment expressed	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed
THEMES		LOCATION
Anti-competitive behaviour		France
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
REMEDIAL ACTION	Measures initiated	TERMINATION OF PRACTICE
	Measures initiated	N/A

Elsowedy Electric Co.		ISIN
		EGS3G0Z1C014
SDG ALIGNMENT		QUARTER
	SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species	Q1 2023
THEMES		LOCATION
Biodiversity, Deforestation, Environmental impacts		Tanzania
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	No measures announced
REMEDIAL ACTION	No measures announced	TERMINATION OF PRACTICE
	No measures announced	No measures announced

Empresas Copec SA		ISIN
		CLP7847L1080
SDG ALIGNMENT		QUARTER
		Q3 2022



SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels		
THEMES		LOCATION
Indigenous rights		Chile
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed

Enel Americas SA		ISIN
		CLP371861061
SDG ALIGNMENT		QUARTER
	SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels	Q4 2023
THEMES		LOCATION
Forced displacement, Living standards, Right to health, Stakeholder consultation		Colombia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed

Experian Plc		ISIN
		GB00B19NLV48
SDG ALIGNMENT		QUARTER
	N/A	Q2 2023
THEMES		LOCATION
Consumer privacy, Consumer rights		United Kingdom
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed

Exxon Mobil Corporation		ISIN
		US30231G1022
SDG ALIGNMENT		QUARTER
		Q4 2022



SDG 13: Climate Action; 13.2: Integrate climate change measures into national policies, strategies and planning

SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials

SDG 13: Climate Action; 13.3: Improve education, awareness-raising and human and institutional capacity on climate change

SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels

THEMES		LOCATION
Climate change regulation		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
REMEDIAL ACTION		TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed
THEMES		LOCATION
Air pollution, Biodiversity, Environmental impacts, Pollution, Water pollution		Canada
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
REMEDIAL ACTION		TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
THEMES		LOCATION
Climate change impacts		Australia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	No measures announced
REMEDIAL ACTION		TERMINATION OF PRACTICE
	No measures announced	No measures announced
THEMES		LOCATION
Access to water / sanitation, Indigenous rights		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	No measures announced
REMEDIAL ACTION		TERMINATION OF PRACTICE
	No measures announced	No measures announced

First Quantum Minerals Ltd.

ISIN

CA3359341052



SDG ALIGNMENT

QUARTER

Q1 2023



SDG 15: Life on Land; 15.2: Sustainable management of all types of forests, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species		
THEMES		LOCATION
Biodiversity, Deforestation, Environmental impacts		Panama
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	No measures announced
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed

Fortescue Ltd.		ISIN
		AU000000FMG4
	SDG ALIGNMENT	QUARTER
	SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 5: Gender Equality; 5.2: Eliminate all forms of violence against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	Q1 2023
THEMES		LOCATION
Gender discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		Australia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated

Glencore Plc		ISIN
		JE00B4T3BW64
	SDG ALIGNMENT	QUARTER
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	Q4 2022
	SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	
THEMES		LOCATION
Pollution, Soil pollution, Waste management, Water pollution		Peru
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
THEMES		LOCATION
Bribery		Nigeria
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE



	Measures initiated	N/A
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Iberdrola SA		ISIN
		ES0144580Y14
	SDG ALIGNMENT	QUARTER
	SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels	Q3 2023
	SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	
	SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species	
THEMES		LOCATION
Access to water / sanitation, Forced displacement, Indigenous rights, Living standards, Stakeholder consultation		Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated
THEMES		LOCATION
Biodiversity, Environmental impacts		Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated


Indofood Agri Resources Ltd.		ISIN
		SG1U47933908
	SDG ALIGNMENT	QUARTER
	SDG 10: Reduced Inequalities; 10.1: Achieve and sustain income growth, SDG 8: Decent Work and Economic Growth; 8.5: Achieve full and productive employment and decent work for all women and men, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	Q2 2023
THEMES		LOCATION
Gender discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		Indonesia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated

JBS SA		ISIN
		BRJBSSACNOR8





	SDG ALIGNMENT		QUARTER
	SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		Q3 2022
	SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		
THEMES			LOCATION
Industrial accident, Workplace health and safety			Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Measures initiated	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Measures initiated	
THEMES			LOCATION
Workplace health and safety			United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Measures initiated	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Measures initiated	


Jindal Steel & Power Ltd.			ISIN
			INE749A01030
	SDG ALIGNMENT		QUARTER
	SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 3: Good Health and Wellbeing; 3.9: Reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination, SDG 6: Clean Water and Sanitation; 6.1: Universal and equitable access to safe and affordable drinking water		Q1 2023
	THEMES		LOCATION
Access to water / sanitation, Forced displacement, Living standards, Stakeholder consultation			Mozambique
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Credible measures adopted	Commitment expressed	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Credible measures adopted	Credible measures adopted	





Kohls Corporation		ISIN
		US5002551043
SDG ALIGNMENT		QUARTER
	SDG 8: Decent Work and Economic Growth; 8.7: Measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of child labour	Q2 2022
THEMES		LOCATION

Forced labour, Human rights due diligence, Modern slavery, Religious affiliation discrimination (social), Social discrimination		China
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed

Korea Electric Power Corp.		ISIN
		KR7015760002
	SDG ALIGNMENT	QUARTER
	SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 2: Zero Hunger; 2.1: End hunger and ensure access by all people to safe, nutritious and sufficient food	Q1 2023
THEMES		LOCATION
Indigenous rights, Living standards, Right to life, Stakeholder consultation		Laos
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed

Lands' End, Inc.		ISIN
		US51509F1057
	SDG ALIGNMENT	QUARTER
	SDG 8: Decent Work and Economic Growth; 8.7: Measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of child labour	Q3 2022
THEMES		LOCATION
Forced labour, Human rights due diligence, Modern slavery, Religious affiliation discrimination (social), Social discrimination		China
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE
	N/A	Commitment expressed

LG Chem Ltd.		ISIN
		KR7051910008
	SDG ALIGNMENT	QUARTER
	SDG 3: Good Health and Wellbeing; 3.9: Reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination, SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages	Q4 2022
THEMES		LOCATION
Right to health, Right to life		India
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed

REMEDIAL ACTION		TERMINATION OF PRACTICE	
Measures initiated		Commitment expressed	
Loomis AB		ISIN SE0014504817	
SDG ALIGNMENT		QUARTER	
	SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	Q4 2022	
THEMES Union rights, Working conditions, Workplace health and safety		LOCATION Turkey	
ENGAGEMENT GOAL STATUS	DISCLOSURE Commitment expressed	IMPLEMENTATION OF POLICIES Commitment expressed	
	REMEDIAL ACTION Commitment expressed	TERMINATION OF PRACTICE Commitment expressed	
Lundin Mining Corporation		ISIN CA5503721063	
SDG ALIGNMENT		QUARTER	
	SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands	Q4 2023	
THEMES Biodiversity, Environmental impacts		LOCATION Chile	
ENGAGEMENT GOAL STATUS	DISCLOSURE Commitment expressed	IMPLEMENTATION OF POLICIES Commitment expressed	
	REMEDIAL ACTION Measures initiated	TERMINATION OF PRACTICE Commitment expressed	
Marriott International, Inc.		ISIN US5719032022	
SDG ALIGNMENT		QUARTER	
	N/A	Q1 2023	
THEMES Consumer privacy, Consumer rights, Cybersecurity		LOCATION N/A	
ENGAGEMENT GOAL STATUS	DISCLOSURE Measures initiated	IMPLEMENTATION OF POLICIES Measures initiated	
	REMEDIAL ACTION Measures initiated	TERMINATION OF PRACTICE Measures initiated	
Mattel, Inc.		ISIN US5770811025	
SDG ALIGNMENT		QUARTER	
		Q4 2023	



SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages

THEMES		LOCATION
Children's rights, Consumer health and safety, Consumer rights, Right to life		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
	Commitment expressed	Measures initiated

McDonald's Corporation

ISIN
US5801351017



SDG ALIGNMENT

SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 5: Gender Equality; 5.2: Eliminate all forms of violence against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers

QUARTER

Q3 2023

THEMES		LOCATION
Gender discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
	Commitment expressed	Commitment expressed

Mercedes-Benz Group AG

ISIN
DE0007100000



SDG ALIGNMENT

SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes


QUARTER


Q3 2023

SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes

THEMES		LOCATION
Air pollution, Pollution		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated
	Measures initiated	Commitment expressed
THEMES		LOCATION
Air pollution, Pollution		Germany
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
	Commitment expressed	Commitment expressed



Mitsui O.S.K. Lines, Ltd.			ISIN
			JP3362700001
	SDG ALIGNMENT		QUARTER
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 14: Life Below Water; 14.2: Sustainably manage and protect marine and coastal ecosystems, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands		Q1 2023
THEMES			LOCATION
Biodiversity, Oil spill, Pollution, Water pollution			Mauritius
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Measures initiated	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Commitment expressed	

Neoenergia SA			ISIN
			BRNEOEACNOR3
	SDG ALIGNMENT		QUARTER
	SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world’s cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels		Q3 2023
	SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species		
THEMES			LOCATION
Access to water / sanitation, Forced displacement, Indigenous rights, Living standards, Stakeholder consultation			Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	No measures announced	Measures initiated	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Measures initiated	
THEMES			LOCATION
Biodiversity, Environmental impacts			Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Measures initiated	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Measures initiated	

Norfolk Southern Corporation			ISIN
			US6558441084
	SDG ALIGNMENT		QUARTER
			Q3 2023




SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials		
THEMES		LOCATION
Air pollution, Biodiversity, Pollution, Soil pollution, Water pollution		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed


Oil & Natural Gas Corp. Ltd.		ISIN
		INE213A01029
	SDG ALIGNMENT	
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	
	SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 2: Zero Hunger; 2.1: End hunger and ensure access by all people to safe, nutritious and sufficient food	
THEMES		LOCATION
Oil spill, Pollution, Soil pollution, Waste management, Water pollution		South Sudan
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	No measures announced
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	No measures announced	No measures announced
THEMES		LOCATION
Forced displacement, Human rights due diligence, Living standards		Myanmar
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed

Paramount Global		ISIN
		US92556H2067
	SDG ALIGNMENT	
		QUARTER
		Q4 2022



SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 5: Gender Equality; 5.2: Eliminate all forms of violence against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		
THEMES Age discrimination (workplace), Gender discrimination (workplace), Racial discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		LOCATION United States
ENGAGEMENT GOAL STATUS	DISCLOSURE Measures initiated	IMPLEMENTATION OF POLICIES Measures initiated
	REMEDIAL ACTION Measures initiated	TERMINATION OF PRACTICE Measures initiated

Petroleo Brasileiro SA		ISIN BRPETRACNPR6
SDG ALIGNMENT  SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 14: Life Below Water; 14.2: Sustainably manage and protect marine and coastal ecosystems		QUARTER Q3 2023
THEMES Oil spill, Pollution, Waste management, Water pollution		LOCATION Brazil
ENGAGEMENT GOAL STATUS	DISCLOSURE Measures initiated	IMPLEMENTATION OF POLICIES Measures initiated
	REMEDIAL ACTION Measures initiated	TERMINATION OF PRACTICE Measures initiated

PG&E Corporation		ISIN US69331C1080
SDG ALIGNMENT  SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages		QUARTER Q4 2022
THEMES Consumer health and safety, Consumer rights, Living standards, Right to health, Right to life		LOCATION United States
ENGAGEMENT GOAL STATUS	DISCLOSURE Measures initiated	IMPLEMENTATION OF POLICIES Measures initiated
	REMEDIAL ACTION Measures initiated	TERMINATION OF PRACTICE Measures initiated

Philip Morris International Inc.		ISIN US7181721090
SDG ALIGNMENT 		QUARTER Q2 2023



SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers

THEMES

Living wage, Union rights, Working conditions

LOCATION

Turkey

ENGAGEMENT
GOAL STATUS

DISCLOSURE

Commitment expressed

REMEDIAL ACTION

No measures announced

IMPLEMENTATION OF POLICIES

Commitment expressed

TERMINATION OF PRACTICE

Commitment expressed

Porsche Automobil Holding SE

ISIN

DE000PAH0038

SDG ALIGNMENT



SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes

QUARTER

Q4 2022

THEMES

Air pollution, Pollution

LOCATION

Germany

ENGAGEMENT
GOAL STATUS

DISCLOSURE

Commitment expressed

REMEDIAL ACTION

Measures initiated

IMPLEMENTATION OF POLICIES

Commitment expressed

TERMINATION OF PRACTICE

Commitment expressed

POSCO Holdings Inc.

ISIN

KR7005490008

SDG ALIGNMENT

SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 2: Zero Hunger; 2.1: End hunger and ensure access by all people to safe, nutritious and sufficient food

SDG 15: Life on Land; 15.2: Sustainable management of all types of forests, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species



SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers

SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers

SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels

QUARTER

Q2 2023

THEMES

LOCATION



Forced displacement, Human rights due diligence, Living standards		Myanmar
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
REMEDIAL ACTION	Measures initiated	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed
THEMES		LOCATION
Biodiversity, Deforestation		Indonesia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
REMEDIAL ACTION	Commitment expressed	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed
THEMES		LOCATION
Industrial accident, Union rights, Workplace health and safety		South Korea
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
REMEDIAL ACTION	Commitment expressed	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed
THEMES		LOCATION
Union rights, Working conditions		Turkey
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
REMEDIAL ACTION	Commitment expressed	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed
THEMES		LOCATION
Indigenous rights		Indonesia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
REMEDIAL ACTION	Commitment expressed	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed

POSCO INTERNATIONAL Corp.

ISIN

KR7047050000

SDG ALIGNMENT

QUARTER



SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 2: Zero Hunger; 2.1: End hunger and ensure access by all people to safe, nutritious and sufficient food

SDG 15: Life on Land; 15.2: Sustainable management of all types of forests, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species

SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers

Q2 2023





SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world’s cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels		
THEMES		LOCATION
Forced displacement, Human rights due diligence, Living standards		Myanmar
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
THEMES		LOCATION
Biodiversity, Deforestation		Indonesia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed
THEMES		LOCATION
Union rights, Working conditions		Turkey
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed
THEMES		LOCATION
Indigenous rights		Indonesia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed


PT Indofood Sukses Makmur Tbk		ISIN
		ID1000057003
	SDG ALIGNMENT	QUARTER
	SDG 10: Reduced Inequalities; 10.1: Achieve and sustain income growth, SDG 8: Decent Work and Economic Growth; 8.5: Achieve full and productive employment and decent work for all women and men, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	Q2 2023
THEMES		LOCATION
Gender discrimination (workplace), Living wage, Union rights, Working conditions		Indonesia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
REMEDIAL ACTION	Measures initiated	TERMINATION OF PRACTICE
		Measures initiated

PT Perusahaan Perkebunan London Sumatra Indonesia Tbk		ISIN
		ID1000118409



	SDG ALIGNMENT		QUARTER
	SDG 10: Reduced Inequalities; 10.1: Achieve and sustain income growth, SDG 8: Decent Work and Economic Growth; 8.5: Achieve full and productive employment and decent work for all women and men, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		Q2 2023
THEMES			LOCATION
Gender discrimination (workplace), Living wage, Union rights, Working conditions			Indonesia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Measures initiated	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Measures initiated	

PT Salim Ivomas Pratama Tbk			ISIN
			ID1000119100
	SDG ALIGNMENT		QUARTER
	SDG 10: Reduced Inequalities; 10.1: Achieve and sustain income growth, SDG 8: Decent Work and Economic Growth; 8.5: Achieve full and productive employment and decent work for all women and men, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		Q2 2023
THEMES			LOCATION
Gender discrimination (workplace), Living wage, Union rights, Working conditions			Indonesia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Measures initiated	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Measures initiated	

RATCH Group Public Company Limited			ISIN
			TH0637010Y00
	SDG ALIGNMENT		QUARTER
	SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 2: Zero Hunger; 2.1: End hunger and ensure access by all people to safe, nutritious and sufficient food		Q1 2023
THEMES			LOCATION
Indigenous rights, Living standards, Right to life, Stakeholder consultation			Laos
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	No measures announced	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Measures initiated	


Rio Tinto Limited			ISIN
			AU000000RIO1
	SDG ALIGNMENT		QUARTER
			Q1 2023



SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials		
SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 5: Gender Equality; 5.2: Eliminate all forms of violence against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		
THEMES		LOCATION
Biodiversity, Pollution, Waste management, Water pollution		Madagascar
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
THEMES		LOCATION
Gender discrimination (workplace), Racial discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		Australia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed

Rio Tinto Plc		ISIN
		GB0007188757
SDG ALIGNMENT		QUARTER
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	Q1 2023
	SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 5: Gender Equality; 5.2: Eliminate all forms of violence against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	
THEMES		LOCATION
Biodiversity, Pollution, Waste management, Water pollution		Madagascar
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Commitment expressed
REMEDIAL ACTION	Measures initiated	TERMINATION OF PRACTICE
		Commitment expressed

	Measures initiated	Commitment expressed
THEMES		LOCATION
Gender discrimination (workplace), Racial discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		Australia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed

Samsung Electronics Co., Ltd.		ISIN
		KR7005930003
	SDG ALIGNMENT	QUARTER
	N/A	Q4 2022
THEMES		LOCATION
Anti-competitive behaviour		South Korea
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Credible measures adopted	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	N/A	N/A

Sociedad Quimica y Minera de Chile SA		ISIN
		CLP8716X1082
	SDG ALIGNMENT	QUARTER
	SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.1: Universal and equitable access to safe and affordable drinking water	Q4 2023
	SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 6: Clean Water and Sanitation; 6.1: Universal and equitable access to safe and affordable drinking water	
THEMES		LOCATION
Biodiversity, Environmental impacts		Chile
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Measures initiated	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
THEMES		LOCATION
Access to water / sanitation, Indigenous rights, Living standards		Chile
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed



Starbucks Corporation			ISIN	US8552441094
SDG ALIGNMENT			QUARTER	
	SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		Q3 2023	
THEMES			LOCATION	
Union rights			United States	
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES		
	Commitment expressed	Commitment expressed		
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE		
	Commitment expressed	Commitment expressed		

Stellantis NV			ISIN	NL00150001Q9
SDG ALIGNMENT			QUARTER	
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes		Q2 2022	
THEMES			LOCATION	
Air pollution, Pollution			United States	
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES		
	Measures initiated	Measures initiated		
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE		
	Measures initiated	Commitment expressed		

TC Energy Corporation			ISIN	CA87807B1076
SDG ALIGNMENT			QUARTER	
	SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world’s cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels		Q1 2023	
THEMES			LOCATION	
Indigenous rights			Canada	
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES		
	Commitment expressed	Commitment expressed		
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE		
	Commitment expressed	No measures announced		

Teck Resources Limited			ISIN	CA8787422044
SDG ALIGNMENT			QUARTER	
			Q3 2023	




SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials		
THEMES Biodiversity, Pollution, Waste management, Water pollution		LOCATION Canada
ENGAGEMENT GOAL STATUS	DISCLOSURE Measures initiated	IMPLEMENTATION OF POLICIES Measures initiated
	REMEDIAL ACTION Measures initiated	TERMINATION OF PRACTICE Measures initiated


Tesla, Inc.		ISIN US88160R1014
	SDG ALIGNMENT SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	QUARTER
	SDG 3: Good Health and Wellbeing; 3.9: Reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination, SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages	Q1 2023
THEMES Union rights		LOCATION United States
ENGAGEMENT GOAL STATUS	DISCLOSURE Commitment expressed	IMPLEMENTATION OF POLICIES Commitment expressed
	REMEDIAL ACTION Commitment expressed	TERMINATION OF PRACTICE Commitment expressed
THEMES Consumer health and safety, Right to life		LOCATION United States
ENGAGEMENT GOAL STATUS	DISCLOSURE Commitment expressed	IMPLEMENTATION OF POLICIES No measures announced
	REMEDIAL ACTION No measures announced	TERMINATION OF PRACTICE No measures announced

The Coca-Cola Company		ISIN US1912161007
	SDG ALIGNMENT SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	QUARTER
	SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	Q4 2022
THEMES Union rights, Working conditions		LOCATION Philippines
ENGAGEMENT GOAL STATUS	DISCLOSURE No measures announced	IMPLEMENTATION OF POLICIES Measures initiated



	REMEDIAL ACTION	TERMINATION OF PRACTICE
	No measures announced	No measures announced
THEMES		LOCATION
Union rights		Indonesia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	No measures announced	No measures announced

The Kansai Electric Power Co., Inc.		ISIN
		JP3228600007
SDG ALIGNMENT		QUARTER
 SDG 13: Climate Action; 13.3: Improve education, awareness-raising and human and institutional capacity on climate change		Q4 2023
THEMES		LOCATION
Biodiversity, Climate change impacts, Environmental impacts		Australia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	No measures announced	No measures announced

The Star Entertainment Group Limited		ISIN
		AU000000SGR6
SDG ALIGNMENT		QUARTER
 SDG 16: Peace, justice and Strong Institutions; 16.4: Reduce illicit financial and arms flows, strengthen the recovery and return of stolen assets and combat all forms of organized crime		Q1 2023
THEMES		LOCATION
Money laundering		Australia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	N/A

T-Mobile US, Inc.		ISIN
		US8725901040
SDG ALIGNMENT		QUARTER
 SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		Q1 2023
THEMES		LOCATION
Consumer privacy, Consumer rights, Cybersecurity		United States
	DISCLOSURE	IMPLEMENTATION OF POLICIES




ENGAGEMENT GOAL STATUS	Measures initiated	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
THEMES		LOCATION
Union rights		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
		No measures announced


Tokyo Electric Power Co. Holdings, Inc.		ISIN
		JP3585800000
SDG ALIGNMENT		QUARTER
	SDG 13: Climate Action; 13.3: Improve education, awareness-raising and human and institutional capacity on climate change	
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 14: Life Below Water; 14.2: Sustainably manage and protect marine and coastal ecosystems, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	Q4 2023
THEMES		LOCATION
Climate change impacts		Australia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
	REMEDIAL ACTION	REMEDIAL ACTION
		No measures announced
THEMES		LOCATION
Environmental impacts, Pollution, Radioactive pollution, Soil pollution, Waste management, Water pollution		Japan
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Credible measures adopted	Measures initiated
	REMEDIAL ACTION	TERMINATION OF PRACTICE
		Credible measures adopted

Tokyo Gas Co., Ltd.		ISIN
		JP3573000001
SDG ALIGNMENT		QUARTER
	SDG 13: Climate Action; 13.3: Improve education, awareness-raising and human and institutional capacity on climate change	
		Q1 2023
THEMES		LOCATION
Biodiversity, Climate change impacts, Environmental impacts		Australia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	Commitment expressed
	REMEDIAL ACTION	TERMINATION OF PRACTICE
		No measures announced



Toyota Motor Corp.			ISIN
			JP3633400001
	SDG ALIGNMENT		QUARTER
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes		Q1 2023
THEMES			LOCATION
Air pollution, Pollution			Japan
ENGAGEMENT GOAL STATUS	DISCLOSURE		IMPLEMENTATION OF POLICIES
	Measures initiated		Measures initiated
	REMEDIAL ACTION		TERMINATION OF PRACTICE
	Measures initiated		Measures initiated

Tyson Foods, Inc.			ISIN
SDG ALIGNMENT			US9024941034
	SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers		QUARTER
			Q4 2022
THEMES			LOCATION
Workplace health and safety			Japan
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Measures initiated	Measures initiated	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Commitment expressed	

UBS Group AG			ISIN
SDG ALIGNMENT			CH0244767585
	SDG 17: Partnerships for the Goals; 17.1: Strengthen domestic resource mobilization		QUARTER
			Q3 2023
THEMES			LOCATION
Tax irregularities			France
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Commitment expressed	Measures initiated	
	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Measures initiated	Commitment expressed	

Universal Health Services, Inc.			ISIN
SDG ALIGNMENT			US9139031002
	SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages		QUARTER
			Q4 2023



THEMES		LOCATION
Children's rights, Consumer health and safety, Consumer rights		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
	Measures initiated	Commitment expressed

Vedanta Limited		ISIN
		INE205A01025
SDG ALIGNMENT	QUARTER	
	Q1 2023	
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	
	SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels	


THEMES		LOCATION
Air pollution, Pollution, Soil pollution, Water pollution		India
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
	Measures initiated	Commitment expressed

THEMES		LOCATION
Indigenous rights, Stakeholder consultation		India
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Commitment expressed
	Commitment expressed	Commitment expressed

Verizon Communications Inc.		ISIN
		US92343V1044
SDG ALIGNMENT	QUARTER	
	Q4 2022	
	SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	
THEMES		LOCATION
Union rights		United States
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Measures initiated	Measures initiated
	Credible measures adopted	Measures initiated

		ISIN
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Volcan Compania Minera S.A.A.			PEP648014202
	SDG ALIGNMENT		QUARTER
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials		Q4 2022
THEMES			LOCATION
Pollution, Soil pollution, Waste management, Water pollution			Peru
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	No measures announced	Commitment expressed	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Commitment expressed	No measures announced	

Zijin Mining Group Co., Ltd.			ISIN
			CNE100000502
	SDG ALIGNMENT		QUARTER
	SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world’s cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels		
	SDG 15: Life on Land; 15.2: Sustainable management of all types of forests, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species		
	SDG 16: Peace, justice and Strong Institutions; 16.1: Reduce all forms of violence and related death rates everywhere		
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials		Q1 2023
SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials			
THEMES			LOCATION
Access to water / sanitation, Human rights due diligence, Indigenous rights, Stakeholder consultation			Peru
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	Commitment expressed	No measures announced	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	
	Commitment expressed	No measures announced	
THEMES			LOCATION
Access to water / sanitation, Forced displacement, Human rights due diligence, Living standards, Right to life			Papua New Guinea
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES	
	No measures announced	Commitment expressed	
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE	



	Commitment expressed	Commitment expressed
THEMES		LOCATION
Right to health, Water pollution		Papua New Guinea
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	No measures announced	Commitment expressed
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed
THEMES		LOCATION
Air pollution, Pollution, Water pollution		Serbia
ENGAGEMENT GOAL STATUS	DISCLOSURE	IMPLEMENTATION OF POLICIES
	Commitment expressed	Commitment expressed
ENGAGEMENT GOAL STATUS	REMEDIAL ACTION	TERMINATION OF PRACTICE
	Commitment expressed	Commitment expressed



UNRESPONSIVE COMPANIES

This section lists all companies which as of 31 December 2023 remained unresponsive to either engagement enquiries sent during 2023, or reminders/escalations related to engagements initiated prior to 2023.

The list below provides an overview the unresponsive companies. The subsequent tables provide further details including the quarter and year of the initial engagement enquiry outreach, SDG alignment, engagement topic and controversy location, and a brief summary of the status of the engagement.

Companies unresponsive to engagements initiated in 2023

Activision Blizzard, Inc.	Koninklijke Philips NV
Amgen Inc.	Metallurgical Corporation of China Ltd.
Baidu, Inc.	Pan American Silver Corp.
Baker Hughes Company	Power Construction Corporation of China, Ltd.
China Railway Construction Corporation Limited	Rede D'Or Sao Luiz SA
CNOOC Limited	RGC Resources, Inc.
Companhia de Saneamento do Parana	Saipem SpA
Consolidated Edison, Inc.	Schlumberger N.V.
Ecopetrol SA	Southern Copper Corporation
Enel SpA	Subsea 7 SA
Eni SpA	Telefonaktiebolaget LM Ericsson
Equitrans Midstream Corporation	The Chemours Company
GCL Technology Holdings Limited	Ubisoft Entertainment SA
Global Power Synergy Public Company Limited	United States Steel Corporation
Hino Motors, Ltd.	Walmart Inc.
Hoshine Silicon Industry Co., Ltd.	Xiamen CD Inc.
	Xinjiang Zhongtai Chemical Co., Ltd.

Companies unresponsive to engagements initiated in 2022, for which there were reminder/escalation activities in 2023

Beowulf Mining Plc
COFCO Sugar Holding Co. Ltd.
Formosa Petrochemical Corp.
G-III Apparel Group, Ltd.
Grupo Mexico S.A.B. de C.V.
Hangzhou Hikvision Digital Technology Co., Ltd.
Iflytek Co., Ltd.
Imperial Oil Limited
Levi Strauss & Co.
Shandong Gold Mining Co., Ltd.
Xiamen Meiya Pico Information Co., Ltd.
Zhejiang Dahua Technology Co., Ltd.



Activision Blizzard, Inc.		ISIN US00507VAN91
	SDG ALIGNMENT SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 5: Gender Equality; 5.2: Eliminate all forms of violence against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	QUARTER Q4 2023
THEME Equal pay, Gender discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		LOCATION United States
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.		


Amgen Inc.		ISIN US0311621009
	SDG ALIGNMENT SDG 17: Partnerships for the Goals; 17.1: Strengthen domestic resource mobilization	QUARTER Q3 2023
THEME Tax irregularities		LOCATION United States
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Lead Independent Director on 29 December 2023.		

Baidu, Inc.		ISIN KYG070341048
	SDG ALIGNMENT N/A	QUARTER Q2 2023
THEME Accounting / disclosure standards		LOCATION United States
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q2 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Independent Director on 9 October 2023.		


Baker Hughes Company		ISIN US05722G1004
	SDG ALIGNMENT SDG 13: Climate Action; 13.3: Improve education, awareness-raising and human and institutional capacity on climate change	QUARTER Q4 2023



THEME	LOCATION
Biodiversity, Climate change impacts, Environmental impacts	Australia
STATUS OF ENGAGEMENT	
The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.	

Beowulf Mining Plc		ISIN GB0033163287
	SDG ALIGNMENT SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels	QUARTER Q3 2022
THEME Indigenous rights, Stakeholder consultation		LOCATION Sweden
STATUS OF ENGAGEMENT After the company's initial written response, it remained unresponsive to follow-up questions. Therefore, a reminder follow-up was sent to the company on 3 January 2023 requesting a response.		

China Railway Construction Corporation Limited		ISIN CNE100000981
	SDG ALIGNMENT SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world’s cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels	QUARTER Q3 2023
THEME Forced displacement, Indigenous rights, Living standards, Stakeholder consultation		LOCATION Ecuador
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.		

CNOOC Limited		ISIN
		HK0883013259
	SDG ALIGNMENT	QUARTER
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	Q3 2023
	SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 11: Sustainable Cities and Communities; 11.1: Access for all to adequate, safe and affordable housing, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 2: Zero Hunger; 2.1: End	



	hunger and ensure access by all people to safe, nutritious and sufficient food	
THEME	Air pollution, Biodiversity, Environmental impacts, Pollution, Water pollution	LOCATION Canada
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.		
THEME	Access to water / sanitation, Forced displacement, Indigenous rights, Living standards, Stakeholder consultation	LOCATION Uganda
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.		

COFCO Sugar Holding Co. Ltd.		ISIN CNE000000LH8
	SDG ALIGNMENT SDG 8: Decent Work and Economic Growth; 8.7: Measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of child labour	QUARTER Q3 2022
THEME	Forced labour, Human rights due diligence, Modern slavery, Religious affiliation discrimination (social), Social discrimination	LOCATION China
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2022. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chairman on 7 February 2023.		

Companhia de Saneamento do Parana		ISIN BRSAPRACNPR6
	SDG ALIGNMENT SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	QUARTER Q3 2023
THEME	Waste management, Water pollution	LOCATION Brazil
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.		

Consolidated Edison, Inc.		ISIN US2091151041
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	SDG ALIGNMENT SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	QUARTER Q2 2023
	THEME Biodiversity, Environmental impacts, Pollution, Soil pollution, Water pollution	LOCATION United States
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q2 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its CEO on 18 August 2023.		

Ecopetrol SA		ISIN COC04PA00016
	SDG ALIGNMENT SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	QUARTER Q2 2023
	SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	
THEME Union rights		LOCATION Colombia
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q2 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chair on 9 October 2023.		
THEME Biodiversity, Oil spill, Pollution, Soil pollution, Water pollution		LOCATION Colombia
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q2 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chair on 9 October 2023.		

Enel SpA	ISIN IT0003128367
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	SDG ALIGNMENT SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels	QUARTER Q4 2023
	THEME Forced displacement, Living standards, Right to health, Stakeholder consultation	LOCATION Colombia
	STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.	

Eni SpA		ISIN IT0003132476
	SDG ALIGNMENT SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials SDG 10: Reduced Inequalities; 10.1: Achieve and sustain income growth, SDG 8: Decent Work and Economic Growth; 8.5: Achieve full and productive employment and decent work for all women and men, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers SDG 13: Climate Action; 13.3: Improve education, awareness-raising and human and institutional capacity on climate change	QUARTER Q4 2023
	THEME Oil spill, Pollution, Soil pollution, Water pollution	LOCATION Nigeria
	STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.	
	THEME Living standards, Living wage, Union rights, Working conditions, Workplace health and safety	LOCATION Nigeria
	STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.	
	THEME Biodiversity, Climate change impacts, Environmental impacts	LOCATION Australia
	STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.	
	Equitrans Midstream Corporation	
	ISIN US2946001011	



	SDG ALIGNMENT SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	QUARTER Q2 2023
	THEME Biodiversity, Environmental impacts, Pollution, Soil pollution, Water pollution	LOCATION United States
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q2 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Lead Independent Director on 9 October 2023.		

Formosa Petrochemical Corp.		ISIN TW0006505001
	SDG ALIGNMENT SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes	QUARTER Q4 2022
THEME Air pollution, Pollution		LOCATION Taiwan
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q4 2022. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chairman of the Board of Directors on 9 March 2023.		

GCL Technology Holdings Limited		ISIN KYG3774X1088
	SDG ALIGNMENT SDG 8: Decent Work and Economic Growth; 8.7: Measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of child labour	QUARTER Q3 2023
THEME Forced labour, Human rights due diligence, Modern slavery, Religious affiliation discrimination (social), Social discrimination		LOCATION China
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.		

G-III Apparel Group, Ltd.		ISIN US36237H1014
	SDG ALIGNMENT SDG 8: Decent Work and Economic Growth; 8.7: Measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of child labour	QUARTER Q3 2022



THEME

Forced labour, Human rights due diligence, Modern slavery, Religious affiliation discrimination (social), Social discrimination

LOCATION

China

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q3 2022. As such, it is subject to the reminder and escalation process and a reminder was sent to its independent director on 7 February 2023.

Global Power Synergy Public Company Limited

ISIN

TH6488010005



SDG ALIGNMENT

SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species

SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 2: Zero Hunger; 2.1: End hunger and ensure access by all people to safe, nutritious and sufficient food

QUARTER

Q2 2023

THEME

Biodiversity, Environmental impacts

LOCATION

Laos

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q2 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chair on 9 October 2023.

THEME

Forced displacement, Living standards, Stakeholder consultation

LOCATION

Laos

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q2 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chair on 9 October 2023.

Grupo Mexico S.A.B. de C.V.

ISIN

MXP370841019



SDG ALIGNMENT

SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials

QUARTER

Q3 2022

THEME

Environmental impacts, Pollution, Waste management, Water pollution

LOCATION

Mexico

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q3 2022. As such, it is subject to the reminder and escalation process and a reminder was sent to its independent director on 7 February 2023.

THEME

Living standards, Stakeholder consultation

LOCATION

Peru



STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q3 2022. As such, it is subject to the reminder and escalation process and a reminder was sent to its independent director on 7 February 2023.

Hangzhou Hikvision Digital Technology Co., Ltd.

ISIN

CNE100000PM8



SDG ALIGNMENT

SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome

QUARTER

Q3 2022

THEME

Human rights due diligence, Privacy, Racial discrimination (social), Religious affiliation discrimination (social), Social discrimination, Torture / inhumane treatment

LOCATION

China

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q3 2022. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chairman on 7 February 2023.

Hino Motors, Ltd.

ISIN

JP3792600003



SDG ALIGNMENT

SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes

QUARTER

Q1 2023

THEME

Air pollution, Pollution

LOCATION

Germany

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q1 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its independent director on 19 June 2023.

Hoshine Silicon Industry Co., Ltd.

ISIN

CNE100002V10



SDG ALIGNMENT

SDG 8: Decent Work and Economic Growth; 8.7: Measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of child labour

QUARTER

Q3 2023

THEME

Forced labour, Human rights due diligence, Modern slavery, Religious affiliation discrimination (social), Social discrimination

LOCATION

China


STATUS OF ENGAGEMENT


The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.


Iflytek Co., Ltd.


ISIN

CNE100000B81

	SDG ALIGNMENT SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome	QUARTER Q3 2022
THEME Human rights due diligence, Privacy, Racial discrimination (social), Religious affiliation discrimination (social), Social discrimination		LOCATION China
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2022. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chairman on 7 February 2023.		

Imperial Oil Limited		ISIN CA4530384086
	SDG ALIGNMENT SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	QUARTER Q4 2022
THEME Air pollution, Biodiversity, Environmental impacts, Pollution, Water pollution		LOCATION Canada
STATUS OF ENGAGEMENT After the company's initial written response, it remained unresponsive to follow-up questions. Therefore, a reminder follow-up was sent to the company on 22 February 2023 requesting a response.		

Koninklijke Philips NV		ISIN NL0000009538
	SDG ALIGNMENT SDG 3: Good Health and Wellbeing; Overarching: Ensure healthy lives and promote well-being for all at all ages	QUARTER Q4 2023
THEME Consumer health and safety, Consumer rights, Right to life		LOCATION United States
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.		

Levi Strauss & Co.		ISIN US52736R1023
	SDG ALIGNMENT SDG 8: Decent Work and Economic Growth; 8.7: Measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of child labour	QUARTER Q2 2022
THEME Forced labour, Human rights due diligence, Modern slavery, Religious affiliation discrimination (social), Social discrimination		LOCATION China

STATUS OF ENGAGEMENT

The company remained unresponsive after an initial response to the engagement enquiry sent in Q2 2022. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board of Directors on 14 February 2023.

Metallurgical Corporation of China Ltd.

ISIN

CNE100000FF3



SDG ALIGNMENT

SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 14: Life Below Water; 14.2: Sustainably manage and protect marine and coastal ecosystems, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials

QUARTER
Q2 2023

THEME

Biodiversity, Waste management, Water pollution

LOCATION

Papua New Guinea

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q2 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board of Supervisors on 9 October 2023.

Pan American Silver Corp.

ISIN

CA6979001089



SDG ALIGNMENT

SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels

QUARTER
Q4 2023

THEME

Indigenous rights, Stakeholder consultation

LOCATION

Guatemala

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.

Power Construction Corporation of China, Ltd.

ISIN

CNE1000017G1



SDG ALIGNMENT

SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species

SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species

QUARTER
Q3 2023



	SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 11: Sustainable Cities and Communities; 11.1: Access for all to adequate, safe and affordable housing, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 2: Zero Hunger; 2.1: End hunger and ensure access by all people to safe, nutritious and sufficient food	
	SDG 1: No Poverty; 1.4: Equal rights to economic resources, and access to basic services, SDG 11: Sustainable Cities and Communities; 11.1: Access for all to adequate, safe and affordable housing, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels, SDG 2: Zero Hunger; 2.1: End hunger and ensure access by all people to safe, nutritious and sufficient food, SDG 6: Clean Water and Sanitation; 6.1: Universal and equitable access to safe and affordable drinking water	
	SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species	
	SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species	
THEME Biodiversity		LOCATION Laos
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.		
THEME Biodiversity, Environmental impacts		LOCATION Indonesia
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.		
THEME Access to water / sanitation, Forced displacement, Indigenous rights, Living standards, Stakeholder consultation		LOCATION Laos
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.		
THEME Forced displacement, Human rights due diligence, Indigenous rights, Living standards, Stakeholder consultation		LOCATION Myanmar
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.		
THEME Biodiversity, Environmental impacts		LOCATION Myanmar



STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.

THEME

Biodiversity, Deforestation, Environmental impacts

LOCATION

Tanzania

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.

Rede D'Or Sao Luiz SA

ISIN

BRRDORACNOR8



SDG ALIGNMENT

SDG 10: Reduced Inequalities; 10.1: Achieve and sustain income growth, SDG 8: Decent Work and Economic Growth; 8.5: Achieve full and productive employment and decent work for all women and men, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers

QUARTER
Q4 2023

THEME

Tax irregularities, Working conditions

LOCATION

Brazil

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.

RGC Resources, Inc.

ISIN

US74955L1035



SDG ALIGNMENT

SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials

QUARTER
Q2 2023

THEME

Biodiversity, Environmental impacts, Pollution, Soil pollution, Water pollution

LOCATION

United States

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q2 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chair on 9 October 2023.

Saipem SpA

ISIN

IT0005495657




SDG ALIGNMENT


SDG 13: Climate Action; 13.3: Improve education, awareness-raising and human and institutional capacity on climate change


QUARTER
Q4 2023



THEME	LOCATION
Biodiversity, Climate change impacts, Environmental impacts	Australia
STATUS OF ENGAGEMENT	
The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.	


Schlumberger N.V.		ISIN AN8068571086
	SDG ALIGNMENT SDG 13: Climate Action; 13.3: Improve education, awareness-raising and human and institutional capacity on climate change	QUARTER Q4 2023
THEME Biodiversity, Climate change impacts, Environmental impacts		LOCATION Australia
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations Department on 15 December 2023.		


Shandong Gold Mining Co., Ltd.		ISIN CNE1000036N7
	<p>SDG ALIGNMENT</p> <p>SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials</p>	<p>QUARTER</p> <p>Q3 2022</p>
<p>THEME</p> <p>Environmental impacts, Pollution, Waste management, Water pollution</p>		<p>LOCATION</p> <p>Argentina</p>
<p>STATUS OF ENGAGEMENT</p> <p>The company did not respond to the initial engagement enquiry sent in Q3 2022. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chairman on 7 February 2023.</p>		


Southern Copper Corporation		ISIN US84265V1052
	<p>SDG ALIGNMENT</p> <p>SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials</p> <p>SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels</p>	QUARTER Q3 2023
THEME Environmental impacts, Pollution, Water pollution		LOCATION Mexico
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.		



THEME	LOCATION
Living standards, Stakeholder consultation	Peru
STATUS OF ENGAGEMENT	
The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.	

Subsea 7 SA		ISIN LU0075646355
	SDG ALIGNMENT SDG 13: Climate Action; 13.3: Improve education, awareness-raising and human and institutional capacity on climate change	QUARTER Q3 2022
THEME Biodiversity, Climate change impacts, Environmental impacts		LOCATION Australia
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2022. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations Department on 15 December 2023.		

Telefonaktiebolaget LM Ericsson		ISIN SE0000108656
	SDG ALIGNMENT SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	QUARTER Q4 2023
THEME Bribery		LOCATION Iraq
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations Department on 15 December 2023.		

The Chemours Company		ISIN US1638511089
	SDG ALIGNMENT SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 6: Clean Water and Sanitation; 6.3: Improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials	QUARTER Q3 2023
THEME Pollution, Water pollution		LOCATION United States
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.		

Ubisoft Entertainment SA		ISIN
		FR0000054470



	SDG ALIGNMENT SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 5: Gender Equality; 5.2: Eliminate all forms of violence against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	QUARTER Q4 2023
	SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 5: Gender Equality; 5.2: Eliminate all forms of violence against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	
THEME Gender discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		LOCATION France
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations Department on 15 December 2023.		
THEME Gender discrimination (workplace), Sexual harassment (workplace), Workplace discrimination		LOCATION Canada
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations Department on 15 December 2023.		

United States Steel Corporation		ISIN US9129091081
	SDG ALIGNMENT SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes	QUARTER Q4 2023
THEME Air pollution, Pollution, Waste management		LOCATION United States
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations Department on 15 December 2023.		

Walmart Inc.		ISIN US9311421039
	SDG ALIGNMENT SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers SDG 8: Decent Work and Economic Growth; 8.7: Measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of child labour	QUARTER Q4 2023
THEME Union rights		LOCATION Union rights

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.

THEME

Forced labour, Human rights due diligence, Modern slavery, Religious affiliation discrimination (social), Social discrimination

LOCATION
China

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q4 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Investor Relations department on 15 December 2023.

Xiamen CD Inc.

ISIN

CNE000000WL7



SDG ALIGNMENT

SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels

QUARTER
Q3 2023

THEME

Access to water / sanitation, Human rights due diligence, Indigenous rights, Stakeholder consultation

LOCATION
Peru

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.

Xiamen Meiya Pico Information Co., Ltd.

ISIN

CNE100001120



SDG ALIGNMENT

SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome

QUARTER
Q3 2023

THEME

Human rights due diligence, Privacy, Racial discrimination (social), Religious affiliation discrimination (social), Social discrimination

LOCATION
China

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chairman on 7 February 2023.

Xinjiang Zhongtai Chemical Co., Ltd.

ISIN

CNE000001PPO



SDG ALIGNMENT

SDG 8: Decent Work and Economic Growth; 8.7: Measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of child labour

QUARTER
Q3 2023

THEME


Forced labour, Human rights due diligence, Modern slavery, Religious affiliation discrimination (social), Social discrimination

LOCATION
China

STATUS OF ENGAGEMENT

The company did not respond to the initial engagement enquiry sent in Q3 2023. As such, it is subject to the reminder and escalation process and a reminder was sent to its Board Chair on 29 December 2023.



Zhejiang Dahua Technology Co., Ltd.		ISIN CNE100000BJ4
	SDG ALIGNMENT SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome	QUARTER Q3 2022
THEME	Human rights due diligence, Privacy, Religious affiliation discrimination (social), Social discrimination	LOCATION China
STATUS OF ENGAGEMENT The company did not respond to the initial engagement enquiry sent in Q3 2022. As such, it is subject to the reminder and escalation process and a reminder was sent to its Chairman on 7 February 2023.		

CLOSED ENGAGEMENTS

The following engagements conducted on behalf of participating investors were closed during 2023, either as a result of measures taken by the company to resolve the controversy, or developments in the controversy itself. These engagements began in 2023 or a previous year.

The list below provides an overview of the companies with which engagement was closed during 2023. The subsequent tables provide further details, including the quarter of initial outreach, SDG alignment, topic theme and location, and the motivation for closing the engagement.

Closed engagements, initiated in 2023

Experian Plc

Telefonaktiebolaget LM Ericsson

Closed engagements, initiated in 2022

Adani Ports & Special Economic Zone Ltd.

Exelon Corporation

Glencore Plc

HYUNDAI ENGINEERING & CONSTRUCTION Co., Ltd.

Kangmei Pharmaceutical Co., Ltd.

KT Corp.

Luckin Coffee Inc.

PT Pertamina (Persero)

Samsung Electronics Co., Ltd.

Shikun & Binui Ltd.

Top Glove Corp. Bhd.

Closed engagements, initiated in 2021

Airbus SE

Alphabet Inc.

BLD Plantation Bhd.

Deutsche Bank AG

TENMA Corp.

The Kansai Electric Power Co., Inc.

TPI - Triunfo Participacoes e Investimentos SA

UBS AG


Closed engagements, initiated in 2020


CCR SA

Closed engagements, initiated in 2019

Walmart Inc.

Adani Ports & Special Economic Zone Ltd.		ISIN INE742F01042
	SDG ALIGNMENT SDG 11: Sustainable Cities and Communities; 11.4: Protect and safeguard the world's cultural and natural heritage, SDG 16: Peace, justice and Strong Institutions; 16.7: Ensure responsive, inclusive, participatory and representative decision-making at all levels	QUARTER Q2 2022
THEME Indigenous rights, Stakeholder consultation		LOCATION Australia
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because no new reports have been raised concerning the company's alleged failure to respect indigenous rights in Australia. In investor dialogue facilitated by ISS ESG in June 2022, the company reiterated the existence of an Indigenous Land Use Agreement with indigenous communities and highlighted that it has a positive working relationship with indigenous stakeholders. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.		

Airbus SE		ISIN NL0000235190
	SDG ALIGNMENT SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	QUARTER Q3 2021
THEME Bribery		LOCATION China, Ghana, Nepal, United Arab Emirates, Sri Lanka, Taiwan, Malaysia
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because in communication with ISS ESG in February 2023, Airbus SE informed that its three-year compliance monitorship was set to conclude in January 2023 to resolve allegations of bribing foreign officials in at least 14 countries, including China, Ghana, Nepal, United Arab Emirates, Sri Lanka, Taiwan, Malaysia, between 2012 and 2015. The company received positive feedback from authorities throughout this period, and it is awaiting formal confirmation of the completion of its reporting and monitoring period. Airbus further shared updates with ISS ESG on the continuous improvements of its anti-corruption framework. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.		

Alphabet Inc.		ISIN US02079K3059
	SDG ALIGNMENT N/A	QUARTER Q3 2021



THEME	LOCATION
Anti-competitive behaviour	N/A
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because, Google LLC, wholly owned subsidiary of Alphabet Inc., is implementing improved business strategies as part of its obligations imposed by the European Commission to address the abuse of its dominance in the comparison shopping services until 2017, Android mobile operating system until 2018, and online search advertising services markets until 2019. Google has initiated measures to remedy its behaviour by allowing competitors to bid for ad slots on its search results page as well as allowing Android users in the European Economic Area the option between Google Search and other default search providers. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.	

BLD Plantation Bhd.		ISIN
		MYL506900009
	SDG ALIGNMENT	QUARTER
	SDG 15: Life on Land; 15.2: Sustainable management of all types of forests, SDG 15: Life on Land; 15.5: Protect and prevent the extinction of threatened species	Q4 2021
THEME		LOCATION
Biodiversity, Deforestation		Malaysia
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because no new allegations have been raised concerning BLD Plantation Bhd.'s clearing of peatland to develop large scale oil palm plantations in Sarawak, Malaysia. According to its public disclosures, the company has completed milestones including, training internal and external stakeholders, including suppliers, to adopt its Sustainable Palm Oil Policy and No Deforestation, No Development on Peat, and No Exploitation policy; collaborating with third parties for the management, protection and monitoring of biodiversity in its concession; and launching social programs for the benefit of surrounding communities. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.		

CCR SA		ISIN
		BRCCROACNOR2
	SDG ALIGNMENT	QUARTER
	SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	Q3 2020
THEME		LOCATION
Bribery		Brazil
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because, in December 2022, CCR SA's subsidiary RDN Concessões e Participações Ltda., signed an agreement with Brazilian authorities, committing to pay its remaining fine of BRL321.2 million through the execution of public works as part of its obligations under a leniency agreement resolving legal and administrative proceedings for approximately BRL25 million in bribes to politicians from 2000 to 2019. ISS ESG continues to monitor CCR's remediation efforts, including confirmation from the		



authorities of the successful conclusion of the leniency agreement. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.


Deutsche Bank AG		ISIN
		DE0005140008
	SDG ALIGNMENT	QUARTER
	SDG 16: Peace, justice and Strong Institutions; 16.4: Reduce illicit financial and arms flows, strengthen the recovery and return of stolen assets and combat all forms of organized crime	Q3 2021
THEME		LOCATION
Money laundering		United States
MOTIVATION FOR CLOSING ENGAGEMENT		
ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because of the substantial time elapsed since the company's misconduct occurred. As of December 2023, the company remains under a compliance monitorship program that includes remediation as part of a July 2020 enforcement action by the New York State Department of Financial Services and ISS ESG continues to monitor the implementation of the company's ongoing remediation program. Given the updated Green signal of this controversy, there is no need for further engagement at this time.		


Exelon Corporation		ISIN
		US30161N1019
	SDG ALIGNMENT	QUARTER
	SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	Q4 2022
THEME		LOCATION
Bribery		United States
MOTIVATION FOR CLOSING ENGAGEMENT		
ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because, in December 2022, Exelon Corporation informed ISS ESG about the ongoing remediation by its subsidiary Commonwealth Edison Co. as part of a July 2020 Deferred Prosecution Agreement with the United States Department of Justice (DoJ). The remediation includes dismissing staff and termination of business relationships with vendors involved in the bribery, revamping the company's compliance structure, and drafting new compliance policies. These remedial measures were also acknowledged by the DoJ. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.		

Experian Plc		ISIN
		GB00B19NLV48
	SDG ALIGNMENT	QUARTER
	N/A	Q2 2023





THEME	LOCATION
Consumer privacy, Consumer rights, Cybersecurity	South Africa
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred as Experian confirmed to ISS ESG in May 2023 that it has cooperated with the National Credit Regulator (NCR) and continues to perform the obligations outlined in a settlement agreement with the NCR, including the payment of an administrative penalty and the appointment of an independent auditor to review the implementation of redesigned safety controls. Experian has submitted monthly progress reports to the South African Information Regulator until March 2022, after which the IR did not provide any further recommendations. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.	

Glencore Plc		ISIN JE00B4T3BW64
	SDG ALIGNMENT SDG 17: Partnerships for the Goals; 17.1: Strengthen domestic resource mobilization	QUARTER Q3 2022
THEME Tax irregularities		LOCATION Australia
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because, in an investor dialogue facilitated by ISS ESG in December 2022, Glencore Plc stated that the Australian Tax Office (ATO) had completed its tax review. The company stated that the ATO had not requested tax adjustments with regard to allegations of the company's tax evasion in Australia. Given the updated Green signal of this controversy, there is no need for further engagement at this time.		

HYUNDAI ENGINEERING & CONSTRUCTION Co., Ltd.		ISIN KR7000720003
	SDG ALIGNMENT SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	QUARTER Q2 2022
THEME Bribery		LOCATION Indonesia
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because HYUNDAI ENGINEERING & CONSTRUCTION Co., Ltd. notified ISS ESG that the company has not been officially informed of the progress or the result of the investigation by the Corruption Eradication Commission of Indonesia on bribery allegations against its former employee. The company further mentioned to have strengthened its compliance program against bribery and corrupt practices, including through strengthening its ethics and compliance management system, reinforcing the compliance organization, and conducting counterparty due diligence. ISS ESG continues to monitor the		

company's remedial measures as well as developments on the investigation. Given the updated Green signal of this controversy, there is no need for further engagement at this time.


Kangmei Pharmaceutical Co., Ltd.		ISIN CNE0000017M1
	SDG ALIGNMENT N/A	QUARTER Q1 2022
THEME Accounting / disclosure standards		LOCATION China
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because, In December 2022, Kangmei Pharmaceutical Co., Ltd. (Kangmei) publicly stated that it introduced measures to ensure improved quality and transparency of its disclosures to address previous errors identified by the China Securities Regulatory Commission in its financial statements from 2016 until 2020. The company has announced provisions to ensure fairness of the company's related party transactions, instated a policy to disclose any transactions with related persons amounting to more than CNY300,000 and established a standard procedure of investigation and disciplinary measures in case of violations. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.		


KT Corp.		ISIN KR7030200000
	SDG ALIGNMENT SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	QUARTER Q1 2022
THEME Bribery		LOCATION South Korea
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. In communication with ISS ESG in October 2023, KT Corp. (KT) shared its remedial actions being implemented as part of anti-corruption measures since the United States Securities and Exchange Commission's (SEC) charged the company for maintaining slush funds used for improper gifts and payments to South Korean public officials from at least 2009 through 2017. KT shared that its remedial actions include holding employee training on compliance and ethics management, anti-corruption training for business partners and compliance assessment results factoring into executives' individual performance evaluations. In its 2022 Annual Report, KT disclosed that it has paid the settlement amount of approximately \$6.3 million to resolve the SEC's charges. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.		

Luckin Coffee Inc.		ISIN US549511L1098
	SDG ALIGNMENT	QUARTER



	N/A	Q1 2022
THEME	LOCATION	
Accounting / disclosure standards	China	
MOTIVATION FOR CLOSING ENGAGEMENT		
ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because the company announced that an internal evaluation conducted by its management and an independent registered public accounting firm concluded that its internal control over financial reporting as of December 2022 was effective. Furthermore, the United States Securities and Exchange Commission confirmed in February 2022 that Luckin Coffee resolved the \$180 million fine it agreed to pay in December 2020 and acknowledged remedial measures, including the termination of third-parties involved in misconduct, and the reorganization of the company's finance department. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.		

PT Pertamina (Persero)		ISIN US69369EAB56
	SDG ALIGNMENT SDG 12: Responsible Consumption and Production; 12.4: Environmentally sound management of chemicals and all wastes, SDG 14: Life Below Water; 14.2: Sustainably manage and protect marine and coastal ecosystems, SDG 15: Life on Land; 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands	QUARTER Q2 2022
THEME Biodiversity, Pollution, Soil pollution, Water pollution		LOCATION Indonesia
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because the Indonesian Ministry of Environment and Forestry issued a decree confirming the completion of remediation of the impact of the July 2019 breach on the YYA-1 well in the Offshore North West Java bloc. Furthermore, the company reported a downward trend in oil spill volumes since 2020 and shared its 2022 monitoring submission to the government which presented laboratory testing showing no significant influence from the oil spill on the environment. Given the updated Green signal of this controversy, there is no need for further engagement at this time.		

Samsung Electronics Co., Ltd.		ISIN
		KR7005930003
	SDG ALIGNMENT	QUARTER
	N/A	Q4 2022
THEME		LOCATION
Anti-competitive behaviour		South Korea
MOTIVATION FOR CLOSING ENGAGEMENT		



ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because, in an investor dialogue facilitated by ISS ESG in December 2022 and January 2023, the company shared that in 2021 it had strengthened the review process for external sponsorship expenditures and reflected anti-corruption items in risk evaluations concerning top management to prevent the recurrence of bribery. The company's anti-corruption initiatives further include the revision of and stricter verification in its donation and sponsorships process and strengthening the independence of its Corporate Compliance Team. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.

Shikun & Binui Ltd.		ISIN
		IL0010819428
	SDG ALIGNMENT	QUARTER
	SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	Q1 2022
THEME		LOCATION
Bribery		Kenya
MOTIVATION FOR CLOSING ENGAGEMENT		
ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because no new allegations concerning bribery have been raised against Shikun & Binui Ltd. In October 2023, the company reported to ISS ESG that it is still negotiating a potential settlement with the Tel Aviv District Attorney's Office regarding allegations that the company and several of its subsidiaries paid bribes worth hundreds of millions of Israeli shekels to public officials from Kenya and several other undisclosed countries. Given the updated Green signal of this controversy, there is no need for further engagement at this time.		

Telefonaktiebolaget LM Ericsson		ISIN
		SE0000108656
	SDG ALIGNMENT	QUARTER
	SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	Q4 2023
THEME		LOCATION
Bribery		China, Indonesia, Saudi Arabia, Vietnam
MOTIVATION FOR CLOSING ENGAGEMENT		
ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because Telefonaktiebolaget LM Ericsson (Ericsson) entered a guilty plea for violations of the United States (U.S.) Foreign Corrupt Practices Act following a determination by the U.S. Department of Justice that it had twice violated its 2019 Deferred Prosecution Agreement (DPA), part of a \$1 billion settlement to resolve bribery allegations in several countries including China, Indonesia, Saudi Arabia and Vietnam. As a result of the guilty plea for the previously deferred charges, the DOJ imposed a \$206.7 million penalty on the company and extended the DPA's cooperation, reporting and compliance obligations to June 2024. Ericsson continues to cooperate with U.S. authorities and implement improvements to its compliance and governance controls through structural and leadership changes including hiring a new Chief Legal Officer and new Head of Corporate and Government Investigations and establishing a Business Risk Committee comprised of senior executives, among other enhancements. ISS		

ESG continues to monitor developments concerning the DPA and the company's remediation measures. Given the updated Green signal of this controversy, there is no need for further engagement at this time.

TENMA Corp.		ISIN
		JP3547800007
	SDG ALIGNMENT	QUARTER
	SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	Q4 2021
THEME		LOCATION
Bribery		Vietnam
MOTIVATION FOR CLOSING ENGAGEMENT		
<p>ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because the company was assessed to be implementing remedial measures to prevent a recurrence of bribery across its operations. In March 2023 the company disclosed that it had paid the fine of JPY25 million levied by the Tokyo District Public Prosecutor's Office over the issue and disclosed measures that the company implemented to improve its anti-bribery system based on recommendations from third-party committee. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.</p>		


The Kansai Electric Power Co., Inc.		ISIN
		JP3228600007
	SDG ALIGNMENT	QUARTER
	N/A	Q4 2021
THEME		LOCATION
Anti-competitive behaviour		Japan
MOTIVATION FOR CLOSING ENGAGEMENT		
<p>ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because The Kansai Electric Power Co., Inc. (KEPCO) stated in its FY2023 Q3 report that it continues to implement its Business Improvement Plan (BIP) since a third-party investigation ordered by the Ministry of Economy, Trade and Industry found that several KEPCO's executives and employees accepted bribes 1997 until 2018 in Japan. The BIP includes specific measures to prevent the reoccurrence of bribery. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.</p>		

Top Glove Corp. Bhd.		ISIN
		MYL711300003
	SDG ALIGNMENT	QUARTER
	SDG 10: Reduced Inequalities; 10.7: Facilitate orderly, safe, regular and responsible migration, SDG 8: Decent Work and Economic Growth; 8.7: Measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of child labour	Q1 2022



THEME	LOCATION
Forced labour, Living wage, Modern slavery, Working conditions, Workplace health and safety	Malaysia
MOTIVATION FOR CLOSING ENGAGEMENT	
ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because the company continues to take measures, including enhanced recruitment processes, decent working conditions, improved living conditions, improved health and safety at the workplace, and implemented a grievance reporting hotline to address allegations of subjecting its workforce to conditions indicative of forced labour at its rubber glove manufacturing facilities in Malaysia. In investor dialogue facilitated by ISS ESG in February 2022, Top Glove shared that it has adopted measures to remediate all issues flagged in an August 2020 independent third-party investigation of the company into the allegations raised by the United States Customs and Border Protection. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.	

TPI - Triunfo Participacoes e Investimentos SA		ISIN BRTPIACNOR8
	SDG ALIGNMENT SDG 16: Peace, justice and Strong Institutions; 16.5: Reduce corruption and bribery in all their forms	QUARTER Q1 2021
THEME Bribery		LOCATION Brazil
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because of the extended time that has elapsed since the alleged bribery in 2018 involving former executives of TPI - Triunfo Participações e Investimentos SA (TPI) and two of its subsidiaries and, as of April 2023, there are no updates regarding the status of the investigations into bribery, and no new claims have been raised. In addition, TPI updated its anti-corruption policy and code of conduct in 2023. Given the updated Green signal of this controversy, there is no need for further engagement at this time.		

UBS AG		ISIN CH0342846067
	SDG ALIGNMENT SDG 17: Partnerships for the Goals; 17.1: Strengthen domestic resource mobilization	QUARTER Q4 2021
THEME Tax irregularities		LOCATION France
MOTIVATION FOR CLOSING ENGAGEMENT ISS ESG has discontinued this engagement as the Norm-Based Engagement dialogue will be focused on publicly listed companies. ISS ESG will continue to monitor developments for UBS Group AG.		



Walmart Inc.		ISIN
		US9311421039
	SDG ALIGNMENT	QUARTER
	SDG 10: Reduced Inequalities; 10.3: Equal opportunities of outcome, SDG 5: Gender Equality; 5.1: End all forms of discrimination against all women and girls, SDG 8: Decent Work and Economic Growth; 8.8: Protect labour rights and promote safe and secure working environments for all workers	Q4 2019
THEME		LOCATION
Equal pay, Gender discrimination (workplace), Racial discrimination (workplace), Workplace discrimination		United Kingdom
MOTIVATION FOR CLOSING ENGAGEMENT		
ISS ESG has closed this engagement as the underlying Norm-Based Research assessment was upgraded from Amber to Green. This change occurred because Walmart, Inc. announced the sale of its majority stake in ASDA Stores, Ltd. (ASDA) in February 2021, which was approved by the U.K. Financial Conduct Authority and the Competition and Markets Authority in April 2021. Considering Walmart's minority ownership, ISS ESG deems the company to have limited leverage over ASDA and considers its involvement in this controversy to be currently out of scope. Thus, ISS ESG assesses that this controversy is no longer current, leading to no further need for engagement.		

APPENDIX

ISS ESG is the responsible investment arm of Institutional Shareholder Services Inc., the world's leading provider of environmental, social, and governance solutions for asset owners, asset managers, hedge funds, and asset servicing providers. With more than 30 years of corporate governance expertise and 25 years of providing in-depth responsible investment research and analytics, ISS ESG has the unique understanding of the requirements of institutional investors. With its comprehensive offering of solutions, ISS ESG enables investors to develop and integrate responsible investing policies and practices, engage on responsible investment issues, and monitor portfolio company practices through screening solutions. It also provides climate data, analytics, and advisory services to help financial market participants understand, measure, and act on climate-related risks across all asset classes. In addition, ISS ESG delivers corporate and country ESG research and ratings enabling its clients to identify material social and environmental risks and opportunities.

NORM-BASED ENGAGEMENT

ISS ESG's Norm-Based Engagement Solution facilitates engagement with issuers on behalf of participating investors. Around 100 companies are selected for Norm-Based Engagement each calendar year. Each client of the Norm-Based Engagement solution determines at its own discretion the engagements in which it would like to participate.